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United States of America

IN THE UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA,

Plaintiff,

v.

HONG XIANG DONG, et al.,

Defendants.

CASE NO. 2:20-CR-00108-TLN

STIPULATION AND JOINT REQUEST FOR
PROTECTIVE ORDER; ~~PROPOSED~~
PROTECTIVE ORDER

I. STIPULATION

1. Plaintiff United States of America, by and through its counsel of record, and defendant Yi Zhu Lin (“defendant”), by and through her counsel of record, Malcolm Segal (collectively, the “parties”), for the reasons set forth below, hereby stipulate, agree, and jointly request that the Court enter a Protective Order in this case restricting the use and dissemination of certain materials containing personal identifying information of real persons and other confidential information of co-defendants, witnesses, and third parties.

2. On July 28, 2022, the Grand Jury returned a superseding indictment charging defendant with one count of Conspiracy to Manufacture at least 100 Marijuana Plants, in violation of 21 U.S.C. §§ 841(a)(1) and 846; one count of Conspiracy to Commit International Money Laundering in violation of 18 U.S.C. § 1956(a)(2)(A) and 1956(h); four counts of International Money Laundering in violation of 18 U.S.C. § 1956(a)(2)(A), and one count of Structuring Currency Transactions in violation of 31 U.S.C.

§ 5324(a)(3). Defendant self-surrendered and was arraigned on May 10, 2024.

3. These charges relate to the purchase and financing of residential marijuana grow houses, and therefore the discovery will necessarily include records regarding the purchase and sale of homes, financial transfers, and other materials containing personal identifying information, account numbers, and other sensitive information. It would be too burdensome for the parties to redact such materials at the discovery stage, therefore, this protective order is necessary to prevent the dissemination, spillage, or spread of personal identifying information of the parties, co-defendants, and others involved in the transactions.

4. As part of its investigation in the above-captioned case, the government is in possession of documents relating to the charges against the defendant, and seeks to provide those documents to the counsel for the defendant. The government believes that some of the materials may exceed the scope of the government's discovery obligations and will be produced to promote a prompt and just resolution or trial of the case.

5. The government intends to produce to the Defense Team, as defined below, materials containing personal identifying information (PII) and other confidential information of real persons, including, among other things, personal names, addresses, Social Security numbers, employers, and bank account numbers. The government believes that those persons are co-defendants, co-conspirators, witnesses, or third parties to this case.

6. The purpose of the proposed Protective Order is to prevent the unauthorized dissemination, distribution, or use of materials containing the PII of others. If this information is disclosed without protective measures, or to defendant without limitation, it may risk the privacy and security of the people to whom the information relates. The information could itself be used to further criminal activity if improperly disclosed or used. The government has ongoing statutory and ethical obligations to protect the privacy interests of witnesses and third parties.

7. Due to the nature of the charges and the alleged conduct in this case, the government believes that PII will be in a significant part of the discovery materials in this case and such information itself, in many instances, has evidentiary value. The documentary evidence contains a very large quantity of privacy-protected information that would be difficult or time-consuming to redact. Further,

1 if the government were to attempt to redact all this information, the defense would receive a set of
2 discovery that would be highly confusing and difficult to understand, and could inhibit prompt and just
3 resolution of the case or preparation for trial.

4 8. Accordingly, the parties jointly request a Protective Order that will permit the
5 government to produce discovery that is unredacted, but preserves the privacy and security of witness,
6 and third parties. The parties agree that the following conditions, if ordered by the Court in the proposed
7 Protective Order, will serve the government's interest in maintaining the privacy and security of
8 witnesses and third parties, while permitting the Defense Team to understand the government's evidence
9 against this defendant.

10 9. This Court may enter protective orders pursuant to Rule 16(d) of the Federal Rules of
11 Criminal Procedure, Local Rule 141.1, and its general supervisory authority.

12 **II. PROPOSED PROTECTIVE ORDER**

13 **A. Protected Materials**

14 10. This Order pertains to all discovery provided or made available to defense counsel in this
15 case (hereafter, collectively "Protected Materials").

16 11. For purposes of the Protective Order, the term "Personal Identifying Information" (PII)
17 includes any information within the definition of a "means of identification" under 18 U.S.C.
18 § 1028(d)(7).

19 12. To the extent that notes are made that memorialize, in whole or in part, the PII in any
20 Protected Materials, or to the extent that copies are made for authorized use by members of the Defense
21 Team, such notes, copies, or reproductions become Protected Materials, subject to the Protective Order
22 and must be handled in accordance with the terms of the Protective Order.

23 **B. Defense Team**

24 13. For purposes of this Order, the term "Defense Counsel" refers to the defendant's counsel
25 of record.

26 14. For purposes of this Order, the term "Defense Team" refers to (1) the defendant's counsel
27 of record, (2) other attorneys at defense counsel's law firm or defense organization who may be
28 consulted regarding case strategy in the above-captioned matter, (3) licensed defense investigators who

are assisting defense counsel with this case, (4) retained experts or potential experts, and (5) paralegals, legal assistants, interpreters, and other support staff to defendant's counsel of record providing assistance on this case. The term "Defense Team" does not include defendant, the defendant's family, or other associates of the defendant.

15. Defense Counsel must provide a copy of this Order to all members of the Defense Team and must obtain written acknowledgement from members of the Defense Team that they are bound by the terms and conditions of this Protective Order, prior to providing any Protected Materials to the members of the Defense Team. The written acknowledgement need not be disclosed or produced to the United States unless ordered by the Court.

C. Disclosure of Protected Materials

16. The Defense Team shall not permit anyone other than the Defense Team to have possession of the Protected Materials, including the defendant himself.

17. No person or party shall use any Protected Materials or information derived from Protected Materials produced in this action for any purpose other than use in the above-captioned case. All Protected Materials shall be used solely for the purpose of conducting and prepare for pre-trial, post-trial, and appellate proceedings (both direct and collateral) and in this criminal action for no other purposes whatsoever, and shall not be used for the economic or other benefit of the defendant, or any third party. Protected Materials may be disclosed only to the categories of persons and under the conditions described in this Order.

18. Defendant may review Protected Materials in this case only in the presence of a member of the Defense Team, and her Defense Counsel shall ensure that defendant is never left alone with any Protected Information. Defendant may not copy, keep, maintain, or otherwise possess any of such Protected Materials at any time. Defendant must return any Protected Materials to the Defense Team at the conclusion of any meeting at which defendant reviews the Protected Materials. Defendant may not take any Protected Materials out of the room in which she is meeting with the Defense Team. Defendant may not write down or memorialize any PII contained in the Protected Materials. At the conclusion of any meeting with defendant, the member of the Defense Team present shall take with him or her all Protected Materials. At no time, under any circumstances, will any Protected Materials be left

1 in the possession, custody, or control of the defendant, whether or not she is incarcerated, except as
2 provided below in Paragraphs 18 and 28.

3 19. If, during the pendency of the case, defendant requests a copy of the Protected Materials
4 from Defense Counsel, Defense Counsel may provide a copy of the Protected Materials to the defendant
5 provided that Defense Counsel ensures that *all* PII contained in the Protected Materials is fully redacted
6 and the copy bears the inscription as described in Paragraph 24. If Defense Counsel provides a redacted
7 copy to defendant subject to these conditions, Defense Counsel or a member of the Defense Team must
8 contemporaneously memorialize in writing that it has fully redacted PII from the Protected Materials
9 and complied with this Order. This written certification need not be disclosed or produced to the United
10 States unless ordered by the Court.

11 20. The Defense Team may review Protected Materials with a witness or potential witness in
12 this case, including the defendant, subject to the requirement above that a member of the Defense Team
13 must be present if Protected Materials are being shown to the defendant. Before being shown any
14 portion of the Protected Materials, however, any witness or potential witness must be informed of the
15 requirements of the Protective Order. No witness or potential witness may retain Protected Materials, or
16 any copy thereof, after his or her review of those materials with the Defense Team is complete.

17 21. This Order does not limit employees of the United States Attorney's Office for the
18 Eastern District of California from disclosing the Protected Materials to members of the United States
19 Attorney's Office, law enforcement agencies, the Court, and defense.

20 22. Defense Counsel shall advise the government with reasonable notice of any subpoenas,
21 document requests, or claims for access to the Protected Materials by third parties if Defense Counsel is
22 considering disseminating any of the Protected Materials to a third party, in order that the government
23 may take action to resist or comply with such demands as it may deem appropriate.

24 **D. Ensuring Security of Protected Materials**

25 23. The Defense Team shall maintain the Protected Materials safely and securely, and shall
26 exercise reasonable care in ensuring the security and confidentiality of the Protected Materials by storing
27 the Protected Materials in a secure place, such as a locked office.

28 24. To the extent that Protected Materials, or any copies or reproductions thereof, are stored

electronically, the Protected Materials will be stored on a password-protected and encrypted storage medium or device. Encryption keys must be stored securely and not written on the storage media that they unlock.

25. If a member of the Defense Team makes, or causes to be made, any further copies of any of the Protected Materials, Defense Counsel will ensure that the following notation is written, stamped or inscribed on whatever folder, container, or media contains the copies: "PROTECTED MATERIALS-SUBJECT TO PROTECTIVE ORDER." For example, if Defense Counsel makes a copy of a disc or physical file containing Protected Materials, the duplicate disc or file must be encrypted and marked with the above notation.

E. Filings

26. In the event that a party needs to file Protected Materials containing PII, or materials otherwise identified as containing confidential information of co-defendants, witnesses, or third parties with the Court, or disclose PII in court filings, the filing should be made under seal. If the Court rejects the request to file such information under seal, the party seeking to file such information shall provide advance written notice to the other party to afford such party an opportunity to object or otherwise respond to such intention. If the other party does not object to the proposed filing, the party seeking to file such information shall redact the PII or confidential materials and make all reasonable attempts to limit the divulging of PII or confidential materials.

F. Conclusion of Prosecution

27. The provisions of this Order shall not terminate at the conclusion of this prosecution. All Protected Materials subject to the Protective Order maintained in the Defense Team's files shall remain subject to the Protective Order unless and until such Order is modified by the Court.

28. Upon final disposition of the case, including exhaustion of direct and collateral appellate proceedings, Defense Counsel shall return the Protected Materials to the government, or certify in writing that the Protected Materials have been destroyed. If any Protected Materials are used as defense exhibits, they shall be maintained with government exhibits so long as those are required to be maintained.

29. If, upon final disposition of the case, defendant requests a copy of the Protected Materials

from Defense Counsel, Defense Counsel may provide a copy of the Protected Materials to the defendant provided that Defense Counsel ensures that *all* PII contained in the Protected Materials is fully redacted and the copy bears the inscription as described in Paragraph 24. If Defense Counsel provides a redacted copy to defendant subject to the above conditions, Defense Counsel or a member of the Defense Team must contemporaneously attest in writing that it has fully redacted PII from the Protected Materials and complied with this Order. This written certification need not be disclosed or produced to the United States unless ordered by the Court.

G. Termination or Substitution of Counsel

30. In the event that there is a substitution of counsel prior to final disposition of the case, new counsel of record must join this Protective Order before any Protected Materials may be transferred from the undersigned defense counsel to the new defense counsel. New defense counsel then will become Defense Counsel for purposes of this Protective Order, and become Defense Team's custodian of materials, and shall then become responsible, upon the conclusion of appellate and post-conviction proceedings, for complying with the provisions set forth in Paragraph 28 above. All members of the Defense Team, whether current or past counsel, are at all times subject to the Protective Order and are not relieved by termination of representation or conclusion of the prosecution Modification of Order.

31. Nothing in this Order shall prevent any party from seeking modification to Order or from objecting to discovery it otherwise believes to be improper. Nothing in this Order shall prevent any part from seeking a more restrictive protective order with regard to particular discovery items.

H. Violation of Order

32. Any person who willfully violates this Order may be held in contempt of court and may be subject to monetary or other sanctions as deemed appropriate by the Court.

I. Application of Laws

33. Nothing in this Order shall be construed to affect or comment on the admissibility or discoverability of the Protected Materials.

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34. Nothing in this Order shall be construed to affect the application of and the parties compliance with the Federal Rules of Criminal Procedure, Local Rules, and applicable statutes.

Dated: May 13, 2024

PHILLIP A. TALBERT
United States Attorney

/s/ ROGER YANG

ROGER YANG
Assistant United States Attorney

Dated: May 13, 2024

/s/ MALCOLM SEGAL

MALCOLM SEGAL
Counsel for Defendant
YI ZHU LIN

[PROPOSED] FINDINGS AND ORDER

The Court having read and considered the Stipulation and Joint Request for a Protective Order, which this Court incorporates by reference into this Order in full, hereby finds that GOOD CAUSE exists to enter the above Order.

IT IS SO FOUND AND ORDERED this 13th day of May 2024.



THE HONORABLE CHI SOO KIM
UNITED STATES MAGISTRATE JUDGE